CAPITAL B ADVISORY SERVICES LLC

Discretionary Authority Authorization Form

CRD #339698
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This Discretionary Authority Authorization Form ("Authorization") is entered into by and between Capital B Advisory Services LLC ("Adviser"), a New Jersey registered investment adviser, and the undersigned client ("Client"). By executing this Authorization, the Client expressly grants the Adviser discretionary authority over the Client's investment account(s) as described herein, in accordance with the terms of the Investment Advisory Agreement between the parties.

> **Important:** Please read this document carefully before signing. By signing below, you authorize Capital B Advisory Services LLC to make investment decisions on your behalf without obtaining your prior approval for each individual transaction. You retain the right to revoke this authorization at any time in writing.

1. Client Information

Client Full Legal Name
As it appears on account

____

Account Number(s)
Custodial account(s) subject to this authorization

____

Custodian Name
Altruist / Fidelity

____

Account Type
e.g., Individual, Joint, IRA, Roth IRA, Trust

____

Social Security / Tax ID

____

Date of Birth
MM/DD/YYYY

____

Address

Phone Number

Email Address

2. Scope of Discretionary Authority

By executing this Authorization, the Client grants Capital B Advisory Services LLC the following discretionary authority with respect to the account(s) identified above:

- Authority to purchase, sell, exchange, convert, or otherwise trade securities and other investments held in the Client's account without obtaining prior Client approval for each transaction;
- Authority to determine the type and amount of securities to be bought or sold, and the timing of such transactions;
- Authority to reinvest dividends, interest, and other proceeds as deemed appropriate in the Adviser's judgment;
- Authority to rebalance the portfolio in accordance with the Client's stated investment objectives and risk tolerance;
- All discretionary authority shall be exercised consistent with the Client's investment objectives, risk tolerance, time horizon, and any written investment restrictions imposed by the Client.

3. Limitations on Discretionary Authority

Notwithstanding the grant of discretionary authority above, the Adviser's authority is limited as follows:

- The Adviser does not have authority to withdraw funds or securities from the Client's account, except for the deduction of advisory fees as separately authorized in writing;
- The Adviser does not have authority to direct distributions or transfers of assets to third parties;
- The Adviser does not have authority to change the account beneficiary designations or account ownership;
- Discretionary authority is limited to the account(s) specifically identified in Section 1 of this Authorization;
- The Client may impose additional written restrictions on the types of securities or transactions the Adviser may execute, which shall be set forth in Exhibit A of the Investment Advisory Agreement.

4. Client Investment Restrictions (if any)

The Client may impose reasonable restrictions on the Adviser's discretionary authority. Please indicate any restrictions below. If none, write "None."

Restrictions (e.g., no tobacco, no individual stocks, ESG only):

5. Custodian Authorization

The Client acknowledges that:

- Client assets subject to this Authorization are maintained with an unaffiliated third-party custodian;
- The Adviser does not have custody of Client funds or securities, except as may be deemed to exist solely as a result of the authority to deduct advisory fees;
- The Client will execute any additional forms or agreements required by the custodian to grant the Adviser trading authorization on the Client's account;
- The custodian will provide the Client with written account statements at least quarterly, and the Client is encouraged to review such statements and compare them with any reports provided by the Adviser;
- The Adviser's ability to exercise discretionary authority is contingent upon the custodian granting the Adviser appropriate access and trading authorization.

6. Revocation of Discretionary Authority

The Client may revoke this discretionary authority at any time by providing written notice to Capital B Advisory Services LLC at the address listed above. Revocation shall be effective upon receipt by the Adviser. Upon revocation:

- The Adviser will cease exercising discretionary authority over the Client's account;
- The Client and Adviser may agree in writing to continue the advisory relationship on a non-discretionary basis;
- Advisory fees accrued through the date of revocation shall remain due and payable;
- Revocation of this Authorization does not automatically terminate the Investment Advisory Agreement, unless the Client provides separate written notice of termination.

7. Client Acknowledgements

By signing this Authorization, the Client acknowledges and agrees to the following:

- ☐ **I understand that discretionary authority permits the Adviser to make investment decisions without my prior approval for each transaction.**
- ☐ **I understand that investing involves risk, including the potential loss of principal, and that past performance is not indicative of future results.**
- ☐ **I have provided accurate and complete financial information and agree to notify the Adviser of any material changes in my financial situation.**
- ☐ **I understand that I may revoke this discretionary authority at any time in writing.**

8. Signatures

By signing below, the Client and Adviser agree to the terms of this Discretionary Authority Authorization and confirm that all information provided is accurate and complete.

CLIENT

Client Full Legal Name
Please print

____

Joint Account Holder (if applicable)
Please print

____

______________________________ ______________________________

Signature *Date*

Joint Account Holder Signature (if applicable)

______________________________ ______________________________

Joint Holder Signature *Date*

ADVISER

Adviser Name
Capital B Advisory Services LLC

Representative
Dane DeBartolo, Principal / IAR

Signature

Date

Regulatory Notice

Capital B Advisory Services LLC is a registered investment adviser in the State of New Jersey. CRD #339698. This Authorization is subject to the terms of the Investment Advisory Agreement and the applicable provisions of the New Jersey Uniform Securities Law (N.J.S.A. 49:3-47 et seq.) and the Investment Advisers Act of 1940. Registration does not imply a certain level of skill or training. Investing involves risk, including the possible loss of principal.